

|                                           |                             |                              |                                    |  |
|-------------------------------------------|-----------------------------|------------------------------|------------------------------------|--|
| <b>AMENDMENT TRANSMITTAL LETTER</b>       |                             |                              | Docket No.<br>37202/102001; 990006 |  |
| Application No.<br>09/900,485-Conf. #4159 | Filing Date<br>July 6, 2001 | Examiner<br>S. E. Chencinski | Art Unit<br>3692                   |  |

Applicant(s): Rod A. Cherkas et al.

Invention: AUTOMATED, USER SPECIFIC TAX ANALYSIS OF INVESTMENT TRANSACTIONS  
USING A PERSONAL TAX PROFILE

**TO THE COMMISSIONER FOR PATENTS**

Transmitted herewith is an amendment in the above-identified application.  
The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED                                                        |                                           |                                         |                                   |          |      |
|--------------------------------------------------------------------------|-------------------------------------------|-----------------------------------------|-----------------------------------|----------|------|
|                                                                          | Claims<br>Remaining<br>After<br>Amendment | Highest<br>Number<br>Previously<br>Paid | Number<br>Extra Claims<br>Present | Rate     |      |
| <b>Total Claims</b>                                                      | 26                                        | - 26 =                                  | 0                                 | x 50.00  | 0.00 |
| <b>Independent<br/>Claims</b>                                            | 5                                         | - 5 =                                   | 0                                 | x 200.00 | 0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |                                           |                                         |                                   |          |      |
| Other fee (please specify):                                              |                                           |                                         |                                   |          |      |
| <b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>                          |                                           |                                         |                                   |          | 0.00 |

☒ Large Entity
 ☐ Small Entity

☒ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0591  
as described below.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

/Robert P. Lord/

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Dated: September 19, 2007

Docket No.: 37202/102001; 990006  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Rod A. Cherkas et al.

Confirmation No.: 4159

Application No.: 09/900,485

Art Unit: 3692

Filed: July 6, 2001

Examiner: S. E. Chencinski

For: AUTOMATED, USER SPECIFIC TAX  
ANALYSIS OF INVESTMENT  
TRANSACTIONS USING A PERSONAL TAX  
PROFILE

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**REPLY UNDER 37 C.F.R. § 1.111**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated June 20, 2007, please reconsider this application in view of the following: